

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Hirokazu SHODA et al.  
Title: IMAGE COMPRESSION APPARATUS, IMAGE  
DEPRESSION APPARATUS AND METHOD  
THEREOF  
Appl. No.: Unassigned  
Filing Date: September 19, 2001  
Examiner: Unassigned  
Art Unit: Unassigned



**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

**RELEVANCE OF EACH DOCUMENT**

Document A2 discloses compression parameters suitably applied to respective color spaces. Data is compressed, with the compression parameters being switched from one to another in accordance with a color space. To expand the data, a decoding process is executed in accordance with the compression parameters.

An English translation of document A2 is not readily available; however, the absence of such translation does not relieve the PTO from its duty to consider the submitted document (37 C.F.R. § 1.98 and M.P.E.P. § 609). An English language abstract is provided for document A2.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise

improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.


Respectfully submitted,

By Johnny A. Kumar

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Date September 19, 2001

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Form PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 016907-1299		SERIAL NO. Unassigned		11011 U.S. PTO 09/955290 	
<b>INFORMATION DISCLOSURE CITATION</b>  <i>(Use several sheets if necessary)</i>				APPLICANT Hirokazu SHODA et al.					
				FILING DATE September 19, 2001		GROUP ART UNIT Unassigned			
<b>U.S. PATENT DOCUMENTS</b>									
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE		
<b>U.S. PATENT APPLICATIONS</b>									
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE		
	A1	09/138,284		TABATA et al.			8/21/98		
<b>FOREIGN PATENT DOCUMENTS</b>									
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION		
	A2	7-203211	8/95	Japan			YES	NO	
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>									
EXAMINER				DATE CONSIDERED					
* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.									